

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Toshio SAKAI, et al.
SERIAL NO: NEW APPLICATION
FILED: HEREWITH
FOR: ORGANIC ELECTROLUMINESCENCE ELEMENT

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references were either submitted or cited in prior application Serial No. 10/139,261, filed May 7, 2002, which is a Continuation Application of U.S. Application Serial Number 09/446,905, filed February 14, 2000, now U.S. Patent No.; 6,437,373, which is the National Stage of PCT/JP99/02377, filed May 7, 1999, where required, as were either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☐ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Norman F. Oblon

Richard L. Treanor
Registration No. 36,379

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

252057US0DIV

SERIAL NO.

NEW APPLICATION

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Toshio SAKAI, et al.

FILING DATE

HEREWITH

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,374,489	12/20/94	IMAI, et al.			
	AB	5,508,136	4/16/96	SHIROTA, et al.			
	AC	5,652,067	07/1997	ITO, et al.			
	AD	5,939,149	08/1999	JANG, et al.			
	AE	5,364,654	11*1994	HOSOKAWA, et al.			
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	JP 8-48656	2/20/96	JAPAN		
	AP	JP 10-110163	4/28/98	JAPAN		
	AQ	JP 9-148073	6/6/97	JAPAN		
	AR	JP 6-314594	11/8/94	JAPAN		
	AS	JP 1-313892	12/19/89	JAPAN		
	AT	JP 8-288069	11/1/96	JAPAN		
	AU	JP 7-235379	9/5/95	JAPAN		
	AV	JP 9-151371	6/10/97	JAPAN		
	AW	JP 63-295695	12/2/98	JAPAN		
	AX	JP 7-130468	5/19/95	JAPAN		
	AY	EP 0 779 765	6/18/97	EUROPE		

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AZ		<input type="checkbox"/> Additional References sheet(s) attached
Examiner			Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.